



Filing ID #10028717

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Martha Roby
Status: Member
State/District: AL02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 07/11/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ AmerFunds EuroPacific Growth Fund [MF]	SP	\$100,001 - \$250,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ American Beacon Large Cap Value Fund [MF]	SP	\$100,001 - \$250,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ American Beacon Small Cap Value Fund [MF]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Franklin Small Cap Growth Fund [MF]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Harbor International Fund [MF]	SP	\$50,001 - \$100,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Mass Mutual Managed Conservative Portfolio [OT]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.				
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Mass Mutual Managed Growth Portfolio Fund [OT]	SP	\$50,001 - \$100,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ MFS Mid Cap Value Fund [MF]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Select T. Rowe Price/Loomis Sayles Blue Chip Growth Fund [MF]	SP	\$100,001 - \$250,000	None	<input type="checkbox"/>
DESCRIPTION: Effective March 30, 2017, the Mainstay Large Cap Growth Fund was discontinued as a fund option in the Balch & Bingham 401(k) Plan in which my spouse participates and the Select T. Rowe Price Loomis/Sayles Blue Chip Growth Fund was added as a new fund option. The Mainstay Large Cap Growth Fund investment was moved to the Select T. Rowe Price Loomis/Sayles Blue Chip Growth Fund on March 30, 2017.				
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ T. Rowe Price Mid-Cap Growth Fund [MF]	SP	\$50,001 - \$100,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Vanguard Energy Fund [MF]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Effective April 11, 2016, the Invesco Energy Fund was discontinued as a fund option in the Balch & Bingham LLP 401(k) Plan and the Vanguard Energy Fund was added as a new fund option. The Invesco Energy Fund holding was moved to the Vanguard Energy Fund.				
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Vanguard Institutional Index Fund [MF]	SP	\$100,001 - \$250,000	None	<input type="checkbox"/>
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Wells Galliard Blended Stable Value Fund [OT]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.				
George Adams Roby Trust dated 12-23-09 ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Amgen Inc. (AMGN) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Construction Partners, Inc. (ROAD) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Escambia County, Alabama Board of Education Tax Anticipation Warrants [GS]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
George Adams Roby Trust dated 12-23-09 ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Shelby County Alabama Board of Education Capital Outlay School Warrants [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Stifel Bank & Trust [OT]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is cash.				
George Adams Roby Trust dated 12-23-09 ⇒ TherapeuticsMD, Inc. (TXMD) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
George Adams Roby Trust dated 12-23-09 ⇒ Torchmark Corporation (TMK) [ST]		\$50,001 - \$100,000	None	<input type="checkbox"/>
Hancey Mill Road Farm LLC, 25% Interest [OT]		\$250,001 - \$500,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is a 25% membership interest in an Alabama limited liability company that owns non-income producing real property located in Montgomery County, Alabama.				
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Alerian MLP ETF (AMLP) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: 500 shares were purchased on April 27, 2016 using cash held by the Margaret Crayton Roby Trust. The per share purchase price was \$12.18990 and the total purchase price was \$6,094.95.				
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		\$15,001 - \$50,000	None	<input type="checkbox"/>
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Amgen Inc. (AMGN) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Construction Partners, Inc. (ROAD) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Escambia County, Alabama Board of Education Tax Anticipation Warrants [GS]		\$1,001 - \$15,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	None	<input type="checkbox"/>	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Shelby County Alabama Board of Education Capital Outlay School Warrants [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Stifel Bank & Trust [OT]		\$1,001 - \$15,000	None	<input type="checkbox"/>	
DESCRIPTION: This asset is cash.					
Margaret Crayton Roby Trust dated 12-25-05 ⇒ TherapeuticsMD, Inc. (TXMD) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Torchmark Corporation (TMK) [ST]		\$50,001 - \$100,000	None	<input type="checkbox"/>	
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Waddell & Reed Financial, Inc. (WDR) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>	
Martha Dubina Roby R/O IRA ⇒ Columbia Balanced Class A [MF]		\$15,001 - \$50,000	None	<input type="checkbox"/>	
Martha Dubina Roby R/O IRA ⇒ Stifel Bank & Trust [OT]		\$1 - \$1,000	None	<input type="checkbox"/>	
DESCRIPTION: This asset is cash.					
Sterling Bank [BA]	JT	\$15,001 - \$50,000	None	<input type="checkbox"/>	
Sterling Bank Saving Account [BA]	DC	\$1 - \$1,000	None	<input type="checkbox"/>	
Sterling Bank Savings Account [BA]	DC	\$1 - \$1,000	None	<input type="checkbox"/>	
Stifel Bank & Trust [OT]	JT	\$1 - \$1,000	None	<input type="checkbox"/>	
DESCRIPTION: This asset is cash.					

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Wells Fargo Bank, N.A. [OT]	JT	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: This asset is cash.				

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ford Motor Company (F) [ST]	JT	08/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
General Electric Company (GE) [ST]	JT	08/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Home Depot, Inc. (HD) [ST]	JT	08/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mainstay Cornerstone Growth Class A [OT]	JT	08/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: Sale of mutual fund shares (Symbol: KLGAX)					
Torchmark Corporation (TMK) [ST]	JT	08/28/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VALE S.A. American Depository Shares Each Representing one common share (VALE) [ST]	JT	08/28/2018	S	\$115.32	<input type="checkbox"/>
Waddell & Reed Financial, Inc. (WDR) [ST]	JT	08/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Synovus Bank	Spouse Director Fee	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Regions Bank	May 2008	Personal Loan	\$10,000 - \$15,000
JT	Wells Fargo Bank, N.A.	May 2013	Home Mortgage Loan	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Balch & Bingham LLP 401(k) Profit Sharing Plan (Owner: SP)
- George Adams Roby Trust dated 12-23-09
- Margaret Crayton Roby Trust dated 12-25-05
- Martha Dubina Roby R/O IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Martha Roby , 07/11/2019